

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1450.

Dated: July 27, 2006

Docket No.: 53086-00704USPT (PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

John Liccione et al.

Application No.: 10/657561

Confirmation No.: 3308

Filed: September 9, 2003

Art Unit: 2114

Art Unit: 2114

For: S

SYSTEM AND METHOD FOR

APPLICATION MONITORING AND

AUTOMATIC DISASTER RECOVERY FOR

HIGH-AVAILABILITY

Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application in accordance with 37 CFR 1.98(a)(2). Applicant submits herewith copies of any cited non-patent documents and foreign patent documents in accordance with 37 CFR 1.98(a)(2).

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Application No.: 10/657561 Docket No.: 53086-00704USPT

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Our check in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 10-0447, under Order No. 53086-00704USPT. A duplicate copy of this paper is enclosed.

Dated: July <u>26</u>, 2006

Respectfully submitted,

Ross T. Robinson

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Attorneys For Applicant



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Subsili	die for form 1449/P	10		Application Number	10/657561	
INF	ORMATIC	ON DIS	CLOSURE	Filing Date	September 9, 2003	
STATEMENT BY APPLICANT				First Named Inventor	John Liccione	
<b>O</b> .,	,		LIOAIII	Art Unit	2114	
	(Use as many	she ets as ne	ecess ary)	Examiner Name	Assessor, Brian J.	
Sheet	1	of	2	Attorney Docket Number	53086-00704USPT	

U.S. PATENT DOCUMENTS						
Examiner	034	Document Number	Publication Date	Name of Patentee or		
Initials*	Cite No.¹	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document		
	A1*	US-6,671,724	12-30-2003	Pandya et al.		
	A2*	US-2003/0050984	03-13-2003	Pickup et al.		
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	A4*	US-2002/0107958	08-02-2002	Faraldo, II		
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	A18*	US-6,202,169	03-13-2001	Razzaghe-Ashrafi et al.		
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	A22*	US-6,820,214	11-16-2004	Cabrera et al.		
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	A24*	US-6,578,041	06-10-2003	Lomet		
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		FOREI	GN PATENT	DOCUMENTS	
Examiner Initials*	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Ť⁵
	B1	WO-01/67261	09-13-2001	Sun Microsystems, Inc.	
	B2	WO-01/30130	05-03-2001	Nomadix, Inc.	

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nicind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			

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Examiner	Date	
Signature	Considered	

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Substitute for form 1449/PTO				Application Number	10/657561	
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	OTATEMENT BY ALT EIGHT			Art Unit	2114	
(Use as many she ets as necessary)			necess ary)	Examiner Name	Assessor, Brian J.	
Sheet	2	of	2	Attorney Docket Number	53086-00704USPT	

considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.